

Projective modules for Frobenius kernels
and finite groups of Lie type

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Notation:

G simple, simply-connected algebraic group scheme over $\overline{\mathbb{F}_p}$.

$B = U \times T$ Borel subgroup, its unipotent radical, maximal torus

$F: G \rightarrow G$ standard Frobenius morphism

$G_r = \ker(F^r)$ r -th Frobenius kernel

$G(\mathbb{F}_q) = G^{F^r}$ finite subgroup of \mathbb{F}_q -rational points ($q = p^r$)

Question (Parshall, 1987): Let M be a finite-dimensional rational G -module.

Suppose $M|_{G_1}$ is projective. Is $M|_{G(\mathbb{F}_p)}$ also projective?

1. True for the Steinberg module $S_t = L((p-1)\rho) = H^0((p-1)\rho) = V((p-1)\rho)$.

2. True if $p > 2(h-1)$, h the Coxeter number of G , since then the projective indecomposables for G_1 are all G -summands of $S_t \otimes V$ for appropriate rational G -modules V .

Theorem (Lin-Nakano, 1999): The answer to Parshall's question is "yes" provided $p \neq 2$ if G is of type B_n, C_n , or F_4 , and $p \neq 2, 3$ if G is of type G_2 .

Call p "excellent" for G if these conditions are satisfied.

Lin-Nakano result follows from their study of complexity.

$\text{cx}_{G_1} M$: complexity of M as a G_1 -module

= rate of growth of a minimal projective resolution for $M|_{G_1}$

= dimension of the associated cohomological support variety $V_{G_1}(M)$.

$\text{cx}_{G_1} M = 0$ if and only if $M|_{G_1}$ is projective.

Theorem (Lin-Nakano) : Let M be a finite-dimensional rational G -module. Then

$$\text{cx}_{G(\mathbb{F}_p)} M \leq \text{cx}_{B(\mathbb{F}_p)} M = \text{cx}_{U(\mathbb{F}_p)} M \leq \text{cx}_{U_1} M = \text{cx}_{B_1} M \leq \frac{1}{2} \cdot \text{cx}_{G_1} M$$

Thus, if $M|_{G_1}$ is projective, then $\text{cx}_{G_1} M = 0$, hence $\text{cx}_{G(\mathbb{F}_p)} M = 0$, which implies that $M|_{G(\mathbb{F}_p)}$ is projective.

Key step : $\text{cx}_{U(\mathbb{F}_p)} M \leq \text{cx}_{U_1} M$

- $\text{cx}_{U_1} M$ = rate of growth of $\dim H^*(U_1, M)$
- $\text{cx}_{U(\mathbb{F}_p)} M$ = rate of growth of $\dim H^*(U(\mathbb{F}_p), M)$

The group ring $kU(\mathbb{F}_p)$ is filtered by powers of the augmentation ideal.

Theorem (Quillen + Lin-Nakano) : If p is excellent, then $\text{gr } kU(\mathbb{F}_p) \cong u(\text{Lie}(U))$, the restricted enveloping algebra of $\text{Lie}(U)$.

consequence :

Since $u(\text{Lie}(U))\text{-mod} \cong U_1\text{-mod}$, one can construct a spectral sequence $E_1^{i,j} = H^{i+j}(U_1, M)_{(i)} \Rightarrow H^{i+j}(U(\mathbb{F}_p), M)$.

construction depends on M admitting a weight space decomposition.

Question: Let M be a finite-dimensional rational G -module. Let $q = p^r$. Suppose $M|_{G_r}$ is projective. Is $M|_{G(\mathbb{F}_q)}$ projective?

Previous approach does not generalize to $r > 1$.

$\text{gr } kU(\mathbb{F}_q)$ is a poor approximation for U_r . (more on this later)

Observe:

- $M|_{G_r}$ projective $\Rightarrow M|_{U_r}$ projective
- $M|_{U(\mathbb{F}_q)}$ projective $\Rightarrow M|_{G(\mathbb{F}_q)}$ projective
(b/c $U(\mathbb{F}_q)$ is a Sylow p -subgroup)

Theorem (D): Let M be a finite-dimensional rational B -module (p arbitrary). If $M|_{U_r}$ is projective, then $M|_{U(\mathbb{F}_q)}$ is projective.

Main tool of proof: Algebra of distributions on U , $\text{Dist}(U)$
(aka, the hyperalgebra of U).

For each root subgroup $U_\alpha \subset U$, $\text{Dist}(U_\alpha)$ has basis $\{X_\alpha^{(n)} \mid n \geq 0\}$
 $X_\alpha^{(n)} X_\alpha^{(m)} = \binom{n+m}{n} X_\alpha^{(n+m)}$

- $\text{Dist}(U)$ has a basis of PBW-monomials $X_{\alpha_1}^{(n_1)} \cdots X_{\alpha_N}^{(n_N)}$.
- $\text{Dist}(U_r)$ is spanned by those monomials with $0 \leq n_i < p^r = q$
- U_r -mod \equiv $\text{Dist}(U_r)$ -mod.
- Action of $\text{Dist}(U_\alpha)$ on a U_α -module M determines the action of U_α :
If $x_\alpha: G_a \rightarrow U_\alpha$ is a fixed isomorphism, $a \in G_a$ and $m \in M$, then

$$x_\alpha(a) \cdot m = \sum_{n \geq 0} (a^n X_\alpha^{(n)}) \cdot m.$$

- $x_\alpha(a)$ acts on a rational module via $\sum_{n \geq 0} a^n X_\alpha^{(n)}$.
- If $a \in \mathbb{F}_q$, then $a^q = a$, so then $x_\alpha(a) \in U_\alpha(\mathbb{F}_q)$ acts as $\sum_{i=0}^{q-1} a^i \left(\sum_{n \geq 0} X_\alpha^{(i+n(q-1))} \right)$
- Set $y_{\alpha,0} = 1$, and for $1 \leq i \leq q-1$, set $y_{\alpha,i} = \sum_{n \geq 0} X_\alpha^{(i+n(q-1))}$.

Lemma : Let M be a rational U_α -module. Then the span in $\text{End}_k(M)$ of $y_{\alpha,0}, y_{\alpha,1}, \dots, y_{\alpha,q-1}$ is the same as the k -span of the operators $x_\alpha(a) \in U_\alpha(\mathbb{F}_q)$ ($a \in \mathbb{F}_q$).

Idea of proof : Linear transformation sending one set of operators to the other is given by an invertible Vandermonde matrix.

Proof of the theorem :

Let M be a finite-dimensional rational B -module. Suppose $M|_{U_r}$ is projective. Then M admits a $\text{Dist}(U_r)$ -basis $\{m_1, m_2, \dots, m_s\}$ consisting of weight vectors. So $\dim M = s \cdot \dim \text{Dist}(U_r) = s \cdot p^{r \cdot \lfloor \frac{q}{p} \rfloor + 1}$.

Since $\dim \text{Dist}(U_r) = \dim kU(\mathbb{F}_q)$, M is free (hence projective) over $kU(\mathbb{F}_q)$ provided $\{m_1, m_2, \dots, m_s\}$ generates M as a $kU(\mathbb{F}_q)$ -module.

- $kU(\mathbb{F}_q)$ is spanned by $x_{\alpha_1}(a_1) x_{\alpha_2}(a_2) \cdots x_{\alpha_N}(a_N)$ ($a_i \in \mathbb{F}_q$)
- can replace by $(y_{\alpha_1, n_1})(y_{\alpha_2, n_2}) \cdots (y_{\alpha_N, n_N})$ ($0 \leq n_i \leq q-1$)
- lowest weight vector $x_{\alpha_1}^{(q-1)} x_{\alpha_2}^{(q-1)} \cdots x_{\alpha_N}^{(q-1)} m_j = (y_{\alpha_1, q-1})(y_{\alpha_2, q-1}) \cdots (y_{\alpha_N, q-1}) \cdot m_j$
- other weight vectors $x_{\alpha_1}^{(n_1)} x_{\alpha_2}^{(n_2)} \cdots x_{\alpha_N}^{(n_N)} \cdot m_j \equiv (y_{\alpha_1, n_1})(y_{\alpha_2, n_2}) \cdots (y_{\alpha_N, n_N}) \cdot m_j$ modulo lower weight vectors

Theorem : M a finite-dimensional rational G -module.
 Then $M|_{G_r}$ projective $\Rightarrow M|_{G(\mathbb{F}_q)}$ projective.

Theorem is false if G is replaced by U !

Example : $G = \mathrm{SL}_2$, $U \cong \mathbb{G}_a$.

Define $f : U \rightarrow U$ by $f(t) = t - t^q$. Then $\ker(f) = U(\mathbb{F}_q)$.

Take $M = f^*(\mathrm{St}_r)$, pullback of St_r via f .

$f|_{U_r} = \text{id}$ (b/c $U_r = \{a \in \mathbb{G}_a \mid a^{p^r} = a^q = 1\}$).

$\therefore M \cong \mathrm{St}_r$ as a U_r -module, but M is trivial for $U(\mathbb{F}_q)$.

(Note: M does not lift to a rational B -module b/c $t - t^q$ is non-homogeneous.)

Weil restriction

Set $n = \mathrm{Lie}(U)$. $n = n|_{\mathbb{F}_p} \otimes_{\mathbb{F}_p} k$, $n|_{\mathbb{F}_q} = n|_{\mathbb{F}_p} \otimes_{\mathbb{F}_p} \mathbb{F}_q$.

$n|_{\mathbb{F}_p}$ is a p -restricted Lie algebra over \mathbb{F}_p .

$n|_{\mathbb{F}_q}$ is also a p -restricted Lie algebra over \mathbb{F}_p (forget \mathbb{F}_q -vector space structure)

Theorem (Quillen + Lin, Nakano) : $\mathrm{gr} \, kU(\mathbb{F}_q) \cong u(n|_{\mathbb{F}_q} \otimes_{\mathbb{F}_p} k)$.

$$n|_{\mathbb{F}_q} \otimes_{\mathbb{F}_p} k = (n|_{\mathbb{F}_p} \otimes_{\mathbb{F}_p} \mathbb{F}_q) \otimes_{\mathbb{F}_p} k \cong n|_{\mathbb{F}_p} \otimes_{\mathbb{F}_p} (\mathbb{F}_q \otimes_{\mathbb{F}_p} k)$$

$$\mathbb{F}_q \otimes_{\mathbb{F}_p} \mathbb{F}_q \cong \mathbb{F}_q \times \cdots \times \mathbb{F}_q \quad (\text{r times})$$

$\therefore n|_{\mathbb{F}_q} \otimes_{\mathbb{F}_p} k \cong (n|_{\mathbb{F}_p} \otimes_{\mathbb{F}_p} k)^{\oplus r} = n^{\oplus r}$ as p -restricted Lie algebras / k .

If M is a rational G -module, the action of $n_{\mathbb{F}_q}$ on M extends linearly to $n_{\mathbb{F}_q} \otimes_{\mathbb{F}_p} k$. Identifying $n_{\mathbb{F}_q} \otimes_{\mathbb{F}_p} k = n^{\oplus r}$, the action is the composite of the r -fold addition map $n^{\oplus r} \rightarrow n$, $(x_1, x_2, \dots, x_r) \mapsto x_1 + x_2 + \dots + x_r$, with the ordinary action of n on M .

In particular, $(x, -x, 0, \dots, 0) \in n^{\oplus r}$ acts as zero on M .

Theorem (Friedlander) :

Let M be a rational G -module. Then

$$\text{cx}_{G(\mathbb{F}_q)} M \leq \frac{1}{2} \cdot \text{cx}_{U(G(\mathbb{F}_q) \otimes_{\mathbb{F}_p} k)} M.$$

In particular, if M is projective over $U(G(\mathbb{F}_q) \otimes_{\mathbb{F}_p} k) \cong U(g^{\oplus r})$, then M is projective over $G(\mathbb{F}_q)$.

The second statement is vacuously true!

(for $r > 1$)

Claim: If M is a rational G -module, then M is not projective for $U(g^{\oplus r})$.

Look at the support variety $V_{U(g^{\oplus r})}(M) \cong \left\{ z \in g^{\oplus r} \mid z^{[p]} = 0 \text{ and } M|_{\langle z \rangle} \text{ is not projective} \right\}$

Choose any $0 \neq x \in N_p(g)$. Then $z := (x, -x, 0, \dots, 0) \in V_{U(g^{\oplus r})}(M)$.