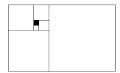
## Napkin problems

## Kyle Petersen

DePaul University
Department of Mathematical Sciences



Jim Propp's  $2^{\binom{4}{2}}$ th birthday conference

## Napkin Problems

- Jim and me
- Conway's Napkin Problen
- A More Malicious Maitre d
- The Clairvoyant Maitre d
- Maximal matchings

## I had one foot out the door...

(Fall 2002)



## I had one foot out the door...

(Fall 2002)





## I had one foot out the door...

(Fall 2002)







(REACH, dimers)

## Only a year or two later...

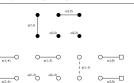
### A Reciprocity Theorem for Monomer-Dimer Coverings

Nick Anzalone1 and John Baldwin2 and Ilva Bronshtein3 and T. Kyle Petersen<sup>4</sup>

1 University of Massachussetts-Boston, Boston, MA, USA, njanzalo@cs.umb.edu 2 Harvard University, Combridge, MA, USA, Boldwin/8 for horvard edu

3 Brandeis University, Waltham, MA, USA, il nabrovill brandeis edu Department of Mathematics, Brandeis University, Waltham, MA, USA, theaters@brandeis.edu

received 14 February 2003, revised 14th April 2003, accepted

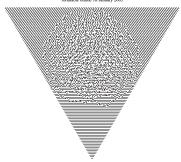


#### An arctic circle theorem for Groves

T. Kyle Petersena, David Speyerb

<sup>a</sup>Department of Mathematics, Brandeis University, Waltham, MA, 02454, USA <sup>b</sup>Department of Mathematics, University of California Berkeley, Berkeley, CA, 94720, USA

> Received 13 July 2004 Available online 18 January 2005



## Only a year or two later...

### A Reciprocity Theorem for Monomer-Dir. Coverings

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## Napkin Problems

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- 4 The Clairvoyant Maitre d
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## Sweden, winter 2005







Anders Claesson

## Sweden, winter 2005







Anders Claesson

Anders: "Hej Kyle! Nice to meet you! Knuth is giving a talk in a few minutes."

## Sweden, winter 2005







Anders Claesson

Anders: "Hej Kyle! Nice to meet you! Knuth is giving a talk in a few minutes."

Kyle: "I don't know, I'm pretty tired..."







Knuth: "I learned about this great puzzle from Pete Winkler's new book..."

### THE MALICIOUS MAITRE D'

At a mathematics conference banquet, 48 male mathematicians, none of them knowledgeable about table etiquette, find themselves assigned to a big circular table. On the table, between each pair of settings, is a coffee cup containing a cloth napkin. As each person is seated (by the maitre d'), he takes a napkin from his left or right; if both napkins are present, he chooses randomly (but the maitre d' doesn't get to see which one he chose).

In what order should the seats be filled to maximize the expected number of mathematicians who don't get napkins?

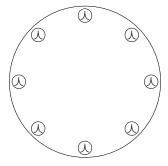
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#### NAPKINS IN A RANDOM SETTING

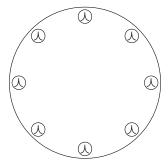
Remember the conference banquet, where a bunch of mathematicians find themselves assigned to a big circular table? Again, on the table, between each pair of settings, is a coffee cup containing a cloth napkin. As each person sits down, he takes a napkin from his left or right; if both napkins are present, he chooses randomly.

This time there is no maitre d'; the seats are occupied in random order. If the number of mathematicians is large, what fraction of them (asymptotically) will end up without a napkin?

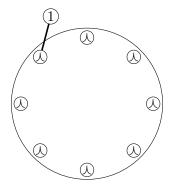
Diners in the queue (assigned seat, napkin preference):



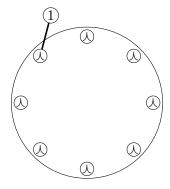
Diners in the queue (assigned seat, napkin preference):  $(1,R), \label{eq:R}$ 



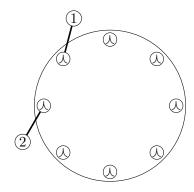
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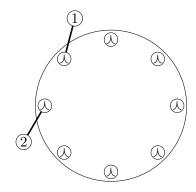
Diners in the queue (assigned seat, napkin preference): (1,R),(3,L),



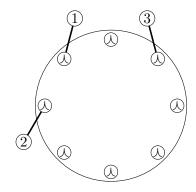
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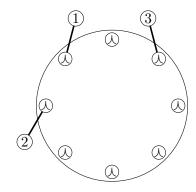
Diners in the queue (assigned seat, napkin preference): (1, R), (3, L), (8, L),



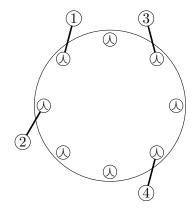
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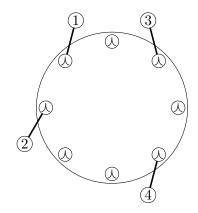
Diners in the queue (assigned seat, napkin preference): (1, R), (3, L), (8, L), (5, R),



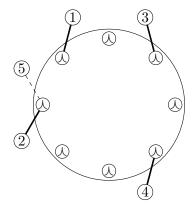
# Diners in the queue (assigned seat, napkin preference): (1,R),(3,L),(8,L),(5,R),



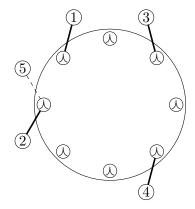
Diners in the queue (assigned seat, napkin preference): (1,R),(3,L),(8,L),(5,R),(2,R),



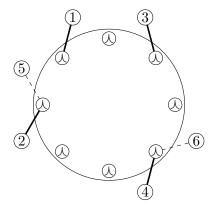
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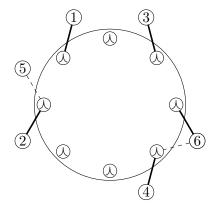
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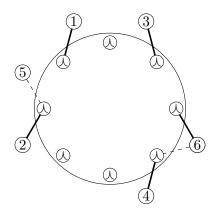


Diners in the queue (assigned seat, napkin preference): (1, R), (3, L), (8, L), (5, R), (2, R), (6, L),

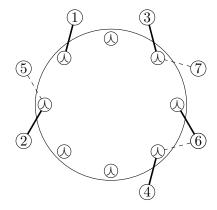


## Diners in the queue (assigned seat, napkin preference):

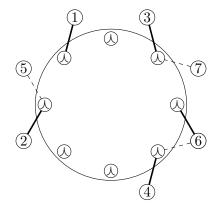
(1,R),(3,L),(8,L),(5,R),(2,R),(6,L),(7,R),



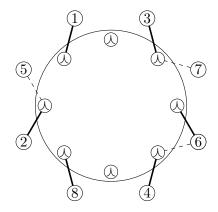
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Diners in the queue (assigned seat, napkin preference): (1,R),(3,L),(8,L),(5,R),(2,R),(6,L),(7,R),(4,L)



## Winkler's solution

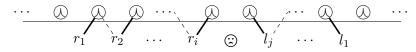
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p = (expected proportion napkinless) = (prob. YOU are napkinless)

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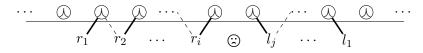
A minimal block:



with 
$$k = i + j + 1$$

p = (expected proportion napkinless) = (prob. YOU are napkinless)

A minimal block:



with 
$$k = i + j + 1$$

Then

$$p_k = \frac{2 \cdot \text{\#proper nonempty subsets}}{\text{\#seating pref.}} = \frac{2(2^{k-1} - 2)}{2^k k!}$$

$$p = \sum_{k \ge 3} p_k$$

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0000000000000

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$$= e - 4\sqrt{e} + 4$$

The Clairvoyant Maitre d

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$$= \sum_{k \ge 3} \frac{1}{k!} - 4 \sum_{k \ge 3} \frac{1}{2^k k!}$$

$$= e - 4\sqrt{e} + 4$$

$$= (2 - \sqrt{e})^2 \approx 0.1234$$

## Napkins in a random setting

### Theorem (Winkler's solution to Conway's napkin problem)

The expected number of napkinless diners at a table for n diners is approximately

$$(2-\sqrt{e})^2 \cdot n,$$

or about 12.34% of the table.

Knuth: "Someone should do this with generating functions."



- ullet model seatings with signed permutations  $\pi \in C_n$
- $\bullet$   $\nu(\pi)$  denotes the number of napkinless diners
- let  $C_n(x) = \sum_{\pi \in C_n} x^{\nu(\pi)}$
- want

$$C(x,z) = \sum_{n>0} C_n(x) \frac{z^n}{2^n n!} = \sum_{n,k>0} p(n,k) x^k z^n$$





Kyle and Anders: "Let's do it!"





Kyle and Anders: "Let's do it!" (and we did!)

#### Conway's Napkin Problem

Anders Claesson and T. Kyle Petersen

1. INTRODUCTION. The problem studied in this article first appeared in the book Mathematical Puzzles: A Connoisseur's Collection, by Peter Winkler [5] and was in-

The Clairvoyant Maitre d

## Napkins in a random setting

### Theorem (Claesson-P., 2007)

The generating function C(x,z) is:

$$C(x,z) = \frac{z(1-(1-x)(1-e^{z/2})^2)}{x(1-z)+(1-x)(2-e^{z/2})^2},$$

and thus the expectation for  $\nu(\pi)$  is:

$$E_n(\nu(\pi)) = n (4 - 4 \exp_n(1/2) + \exp_n(1)),$$

where  $\exp_n$  is the truncated exponential function.

(Idea: straighten the table and use recursive structure to find generating functions)

The Clairvoyant Maitre d

## Napkins in a random setting

#### Comments:

• if p is prob. diner takes left napkin,

$$E_n(\nu(\pi)) = \frac{n}{pq} (1 - p \exp_n(q) - q \exp_n(p) + pq \exp_n(1))$$

(reproduces Sudbury)

- can also track "frustrated" diners; when p = 1/2, there are about 17% frustrated diners, so about 70% of diners are happy!
- follow-up paper by N. Eriksen in 2008 considered other variations (French diners, sloppy diners, greedy diners)

# Napkin Problems

- Jim and me
- Conway's Napkin Problen
- 3 A More Malicious Maitre d'
- 4 The Clairvoyant Maitre d
- Maximal matchings









## Chicago, winter 2022

"We want to do a reading course in generating functions."



Reed Acton



Blake Shirman



Daniel Toal

#### "We want to do a reading course in generating functions."







Reed Acton Blake Shir



"Okay, read the first half of Wilf, then reproduce the results of my paper with Anders"

The Clairvoyant Maitre d

## A mathematical microagression

### From Claesson-P. (2007):

1. INTRODUCTION. The problem studied in this article first appeared in the book Mathematical Puzzles: A Connoisseur's Collection, by Peter Winkler [5] and was inspired by a true story. Rather than recounting the problem and the story ourselves, we prefer to quote directly from Mathematical Puzzles [5, p. 22]:

#### THE MALICIOUS MAITRE D' At a mathematics conference banquet, 48 male mathematicians, none of them knowledgeable

about table etiquette, find themselves assigned to a big circular table. On the table, between each pair of settings, is a coffee cup containing a cloth napkin. As each person is seated (by the maitre d'), he takes a napkin from his left or right; if both napkins are present, he chooses randomly (but the maitre d' doesn't get to see which one he chose).

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Students: "We don't get it."

... what they "didn't get" was a new puzzle Winkler introduced in his solution...

# The adaptive maitre d'

#### From Winkler's solution:

If the maitre d' sees which napkin is grabbed each time he seats a diner (computer theorists would call him an "adaptive adversary"), it is not hard to see that his best strategy is as follows. If the first diner takes (say) his right napkin, the next is seated two spaces to his right so that the diner in between may be trapped. If the second diner also takes his right napkin, the maitre d' tries again by skipping another chair to the right. If the second diner takes his left napkin (leaving the space between him and the first diner napkinless), the third diner is seated directly to the second diner's right. Further diners are seated according to the same rule until the circle is closed, then the remaining diners (some of whom are doomed to be napkinless) are seated. This results in 1/6 of the diners without napkins, on average.

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The Clairvovant Maitre d

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Students, week 6: "We don't get it." Students, week 7: "We don't get it."

# The adaptive maitre d'

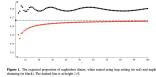
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This results in 1/6 of the diners without napkins, on average.

Students, week 6: "We don't get it." Students, week 7: "We don't get it."

Students, week 8: "We can do better."



A More Malicious Maitre d'

000000000000000000



$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

A More Malicious Maitre d'

000000000000000000



A More Malicious Maitre d'

l	R	R								
	1	2								

A More Malicious Maitre d'

R	R	L							
1	2	3							

R	R	L	R						
1	2	3	4						

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

	R	R	L	R	L	R	R				
ĺ	1	2	3	4	5	6	7				

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

### Tup setting

diner preferences order  $\sigma \in \{\pm 1\}^n$ :

A More Malicious Maitre d'

A More Malicious Maitre d'

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

A More Malicious Maitre d'

A More Malicious Maitre d'

A More Malicious Maitre d'

000000000000000000

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

A More Malicious Maitre d'

000000000000000000

A More Malicious Maitre d'

000000000000000000

The Clairvoyant Maitre d'

### Trap setting

diner preferences order  $\sigma \in \{\pm 1\}^n$ :

(table wraps around)

Notice at most n/3 traps can be set, and about half of these will succeed . . .

(table wraps around)

Notice at most n/3 traps can be set, and about half of these will succeed . . . So expect n/6 napkinless diners!









# Napkin Shunning

R				R				L	R
1				4				3	2

R				L	R				L	R
1				5	4				3	2

R		R		L	R				L	R
1		6		5	4				3	2

## •

R	R	R		L	R				L	R
1	7	6		5	4				3	2

# tapitiii siiaiiiiiig

R	L	R	R		L	R				L	R
1	8	7	6		5	4				3	2

## 1

R	L	R	R	$\mid L$	R	L	R				L	R
1	8	7	6	10	9	5	4				3	2

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

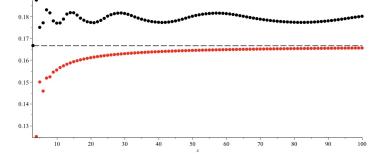
# Napkin shunning

The Clairvoyant Maitre d'

# Napkin shunning

$$\sigma = (1,1,-1,1,-1,1,-1,1,-1,-1,1,1,-1,-1,1,1,-1)$$

# Trap setting vs. Napkin shunning



**Figure 1.** The expected proportion of napkinless diners, when seated using trap setting (in red) and napkin shunning (in black). The dashed line is at height 1/6.

### Trap setting vs. Napkin shunning

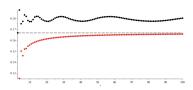


Figure 1. The expected proportion of napkinless diners, when seated using trap setting (in red) and napkin shunning (in black). The dashed line is at height 1/6.









Me: "Okay, I'm convinced! Let's figure this out."

### Trap setting vs. Napkin shunning

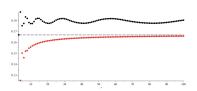


Figure 1. The expected proportion of napkinless diners, when seated using trap setting (in red) and napkin shunning (in black). The dashed line is at height 1/6.









Me: "Okay, I'm convinced! Let's figure this out." ... and we did!

A More Malicious Maitre d'

Reed Acton, T. Kyle Petersen, Blake Shirman, and Daniel Toal

### A More Malicious Maitre d'

### Theorem (Acton-P.-Shirman-Toal, 2023)

The expected proportion of napkinless diners on a table with  $n \geq 3$ seats:

- is given by  $\frac{(3n-2)-16(-1/2)^n}{18n} \le 1/6$  when using the trap setting strategy, and
- the napkin shunning strategy. Moreover, for all n > 5, this proportion is between 0.1769 and 0.1831.

(Idea: straighten the table and use recursive structure to find generating functions)

# A More Malicious Maitre d' (trap setting detail)

### Proposition (Acton-P.-Shirman-Toal, 2023)

Letting  $W_n(t) = \sum_{\sigma \in \{+1\}^n} t^{\nu_W(\sigma)}$ ,

$$W(t,z) = \sum_{n \ge 0} W_n(t)z^n = \frac{2 - 2z^2}{1 - z - 2z^2 - 2(t - 1)z^3},$$

and with  $E_n^W = W_n'(1)/2^n$  (expectation),

$$E^{W}(z) = \sum_{n>0} E_{n}^{W} z^{n} = \frac{z^{3}(2-z)}{2(1-z)^{2}(2+z)}.$$

A More Malicious Maitre d'

### Proposition (Acton-P.-Shirman-Toal, 2023)

Letting  $S_n(t) = \sum_{\sigma \in \{\pm 1\}^n} t^{\nu_S(\sigma)}$ , and with  $E_n^S = S_n'(1)/2^n$ ,  $E_1^S = E_2^S = 0$ ,  $E_3^S = 1/2$ ,  $E_4^S = 3/4$ , and for  $n \ge 5$ ,

$$E_n^S = \frac{1}{2} \left( E_{n-1}^S + E_{\lfloor \frac{n+1}{2} \rfloor}^S + E_{\lceil \frac{n+1}{2} \rceil}^S \right).$$

The generating function  $E^S(z) = \sum_{n \geq 0} E_n^S z^n$  has the form

$$E^{S}(z) = \sum_{n \ge 0} \frac{z^{3 \cdot 2^{n}} (2 + z^{2^{n}})}{2(2 - z^{2^{n}})} \prod_{k=0}^{n-1} \frac{(1 + z^{2^{k}})^{2}}{z^{2^{k+1}} (2 - z^{2^{k}})}.$$

# A More Malicious Maitre d' (open questions)

#### Question

We know

$$8/48 \le E_n^S/n \le 9/48$$

for all  $n \geq 3$ , and we know

$$0.17695 \approx \frac{3171}{17920} \le E_n^S / n \le \frac{3280}{17920} \approx 0.18304$$

for all  $n \geq 5$ .

# A More Malicious Maitre d' (open questions)

#### Question

We know

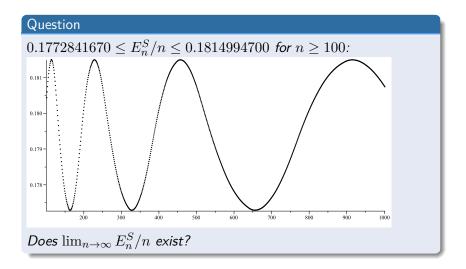
$$8/48 \le E_n^S/n \le 9/48$$

for all n > 3, and we know

$$0.17695 \approx \frac{3171}{17920} \le E_n^S/n \le \frac{3280}{17920} \approx 0.18304$$

for all n > 5.

Does  $\lim_{n\to\infty} E_n^S/n$  exist?



Optimality:

#### Optimality:

Trap setting is not optimal because we proved that napkin shunning was better

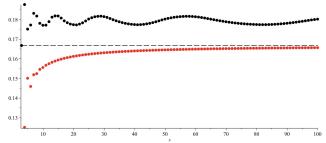
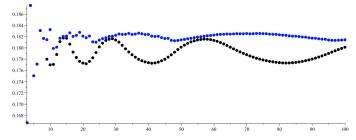


Figure 1. The expected proportion of napkinless diners, when seated using trap setting (in red) and napkin shunning (in black). The dashed line is at height 1/6.

The Clairvoyant Maitre d

# A More Malicious Maitre d' (open questions)

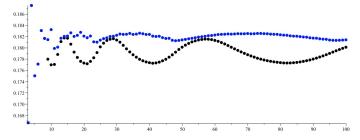
However, napkin shunning is NOT optimal. By adjusting the shunning algorithm very slightly (empty seats mod 3 matter), we find algorithm  $\tilde{S}$  such that  $E_n^S < E_n^{\tilde{S}}$ .



The Clairvoyant Maitre d

# A More Malicious Maitre d' (open questions)

However, napkin shunning is NOT optimal. By adjusting the shunning algorithm very slightly (empty seats mod 3 matter), we find algorithm  $\tilde{S}$  such that  $E_n^S \leq E_n^{\tilde{S}}$ .



#### Question

Is there an optimal strategy for the adaptive maitre d'?

# Napkin Problems

- Jim and me
- Conway's Napkin Problem
- A More Malicious Maitre d
- 4 The Clairvoyant Maitre d'
- Maximal matchings



R			
1			

R	R		
1	2		

R	L	R		
1	3	2		

R	L	R	R		
1	3	2	4		

R	L	R	L	R		
1	3	2	5	4		

000

#### The most malicious maitre d'

R	L	R	L	R	R	
1	3	2	5	4	6	

R	L	R	L	R	R	R	
1	3	2	5	4	6	7	

R	L	R	L	R	L	R	R	
1	3	2	5	4	8	6	7	

R	L	R	L	R	L	R	R	R
1	3	2	5	4	8	6	7	9

0.00

R	L	R	L	R	L	R	L	R	R
1	3	2	5	4	8	6	10	7	9

R	L	R	L	R	L	R	L	R	L	R
1	3	2	5	4	8	6	10	7	11	9

								L	R	L	R	
1	12	3	2	5	4	8	6	10	7	11	9	

										R	L	R	
1	12	3	2	13	5	4	8	6	10	7	11	9	

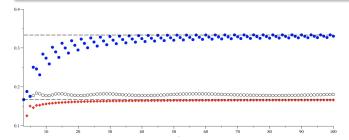
										R	L	R	L
1	12	3	2	13	5	4	8	6	10	7	11	9	14

# Suppose the Maitre d' ascertains the diner's napkin preference before they reach the table. This is the **Clairvoyant Maitre d'**,

and they can realize the full potential of trap setting:

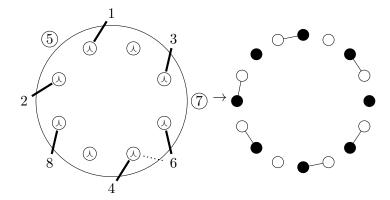
#### Theorem (Acton-P.-Shirman-Tenner, 2024)

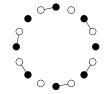
For any sequence of napkin preferences  $\sigma$ , the clairvoyant maitre d' maximizes the number of napkinless diners for  $\sigma$  (despite only learning one preference at a time), and the limiting proportion of napkinless diners is 1/3.



# Napkin Problems

- ① Jim and me
- Conway's Napkin Problem
- A More Malicious Maitre d
- 4 The Clairvoyant Maitre d
- Maximal matchings



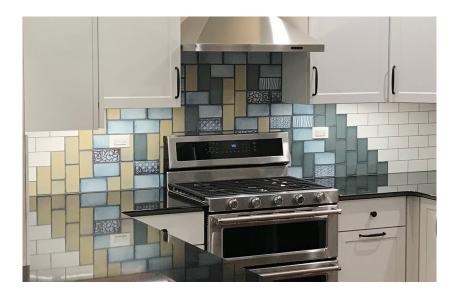


Seating the diners models the formation of a **maximal matching** of a cycle graph; the end result of "random sequential adsorption" in which napkinless diners correspond to "free radicals"

Došlić and Zubac (2016) find about 17.7% free radicals (when every matching is equally likely), while earlier work by Flory (1939) found  $e^{-2}\approx 0.135335$ 

e Clairvoyant Maitre d'

# Thanks Jim, and Happy Birthday!



The Clairvovant Maitre d

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